

TYBMS (Finance) (SEMESTER – VI)

INNOVATIVE FINANCIAL SERVICES

1. This is the person responsible for guaranteeing the minimum subscription in an issue-
 - a. Banker
 - b. Venture capital
 - c. Underwriter
 - d. Trustee

2. This is the only listed credit rating agency in India-
 - a. CARE
 - b. TARA
 - c. ICRA
 - d. CRISIL

3. The transfer of application money in an IPO to an escrow account is ensured by the-
 - a. Underwriter
 - b. Shareholder
 - c. Trustee
 - d. Banker

4. The value of Actual Line of Credit for an annual sales turnover of ₹ 1,00,000 for a 25 days collection period will be-
 - a. ₹ 40,000
 - b. ₹ 25,00,000
 - c. ₹ 4,000
 - d. ₹ 6,850

5. This bill is also called as the time bill-
 - a. Documentary bill
 - b. Demand bill
 - c. Clean bill
 - d. Usance bill

6. A banker to an issue shall maintain the records/ books of accounts/ documents with regard to an issue for a minimum period of-
 - a. 1 year
 - b. 2 years
 - c. 3 years
 - d. 5 years

7. The gross outstanding business of a member broker at any time should not exceed these times the base and additional capital requirements-
 - a. 10 times
 - b. 12.5 times
 - c. 15 times
 - d. 20 times

8. This indicates a very strong credit rating-
 - a. AAA
 - b. AA
 - c. A
 - d. AB

9. The Controller of Capital Issues was repealed and replaced by the-
 - a. RBI
 - b. SEBI
 - c. NABARD
 - d. SIDBI

10. Bridge Financing is also known as-
 - a. First Round
 - b. Second Round
 - c. Third Round
 - d. Fourth Round

11. The brokerage rate applicable to all types of public issue of industrial securities is fixed at-
- a. 1%
 - b. 1.5%
 - c. 2%
 - d. 5%
12. As per regulatory requirement, of all the active trading members at least these members shall be inspected every year for compliance management-
- a. 1%
 - b. 10%
 - c. 5%
 - d. 50%
13. The NHB is a fully owned subsidiary of the-
- a. SEBI
 - b. SBI
 - c. RBI
 - d. NSE
14. The exercise price for the options derivatives is known as-
- a. Strike
 - b. Call
 - c. Put
 - d. Forwards
15. The minimum lot size for trading in the index futures is-
- a. 50
 - b. 100
 - c. 150
 - d. 200
16. This is a form of contract transferring the use or occupancy of land, space, structure or equipment, in consideration of a payment, usually in the form of a rent-
- a. Derivative
 - b. Mortgage
 - c. Sourcing
 - d. Lease
17. Plastic money was introduced in the year-
- a. 1949
 - b. 1950
 - c. 1951
 - d. 1952
18. This type of credit is granted only to customers with good credibility-
- a. Secured
 - b. Unsecured
 - c. Fixed
 - d. Short term
19. This is a typical technique of private equity investment-
- a. Mutual funds
 - b. Venture capital
 - c. Procurement
 - d. Housing Finance
20. This type of smart card incorporates a keyboard and a liquid crystal display-
- a. Contact card
 - b. Super Smart Card
 - c. Contactless card
 - d. Bluetooth Card